#### **INVESTOR COMPLAINTS DATA AS A STOCK BROKER**

#### Data for every month ending Oct, 2025:

Sr. No	Received From	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7	•	8
1.	Directly from Investors	0	0	0	0	0		NA
2.	SEBI (SCORS)	0	0	0	0	0		NA
3.	Stock Exchanges	0	0	0	0	0		NA
4.	Other Sources (if any)	0	0	0	0	0		NA
5.	Grand Total	0	0	0	0	0		-

## Trend of monthly disposal of complaints:

Sr. No	Month	Carried forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1.	December, 2021	0	0	0	0
2.	January, 2022	0	0	0	0
3.	February, 2022	0	0	0	0
4.	March, 2022	0	0	0	0
5.	April, 2022	0	0	0	0
6.	May, 2022	0	0	0	0
7.	June, 2022	0	0	0	0
8.	July, 2022	0	0	0	0
9.	August, 2022	0	0	0	0
10.	September, 2022	0	0	0	0
11.	October, 2022	0	0	0	0
12.	November. 2022	0	0	0	0
13.	December, 2022	0	0	0	0



### ARYA FIN-TRADE SERVICES (INDIA) PRIVATE LIMITED

	_			
•				0
				0
				0
April, 2023	0	0	0	0
May, 2023	0	0	0	0
June, 2023	0	0	0	0
July, 2023	0	0	0	0
August, 2023	0	0	0	0
September, 2023	0	0	0	0
October, 2023	0	0	0	0
November, 2023	0	0	0	0
December, 2023	0	0	0	0
January, 2024	0	0	0	0
February, 2024	0	0	0	0
March, 2024	0	0	0	0
April, 2024	0	0	0	0
May, 2024	0	0	0	0
June, 2024	0	0	0	0
July, 2024	0	0	0	0
August, 2024	0	0	0	0
September, 2024	0	0	0	0
October, 2024	0	0	0	0
November, 2024	0	0	0	0
December, 2024	0	0	0	0
	0	0	0	0
	0	0	0	0
•	0	0	0	0
	0	0	0	0
•	0	0	0	0
	0	0	0	0
	0	0	0	0
	0	0	0	0
•	0	0	0	0
			0	0
	May, 2023 June, 2023 July, 2023 August, 2023 September, 2023 October, 2023 November, 2023 December, 2023 January, 2024 February, 2024 March, 2024 April, 2024 May, 2024 June, 2024 July, 2024 August, 2024 September, 2024 October, 2024	February. 2023 0 March, 2023 0 April, 2023 0 May, 2023 0 June, 2023 0 July, 2023 0 August, 2023 0 September, 2023 0 October, 2023 0 November, 2023 0 December, 2023 0 January, 2024 0 February, 2024 0 March, 2024 0 April, 2024 0 June, 2024 0 June, 2024 0 June, 2024 0 August, 2024 0 September, 2023 0 January, 2024 0 May, 2024 0 June, 2024 0 June, 2024 0 September, 2024 0 September, 2024 0 November, 2024 0 December, 2024 0 December, 2024 0 Andury, 2025 0 February, 2025 0 April, 2025 0 June, 2025 0 July, 2025 0 Aug, 2025 0 Aug, 2025 0 Sep, 2025 0	February. 2023         0         0           March, 2023         0         0           April, 2023         0         0           May, 2023         0         0           June, 2023         0         0           July, 2023         0         0           August, 2023         0         0           September, 2023         0         0           October, 2023         0         0           November, 2023         0         0           December, 2023         0         0           January, 2024         0         0           February, 2024         0         0           March, 2024         0         0           May, 2024         0         0           July, 2024         0         0           August, 2024         0         0           September, 2024         0         0           October, 2024         0         0           November, 2024         0         0           December, 2024         0         0           December, 2024         0         0           January, 2025         0         0           February, 202	February, 2023 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

# Trend of annual disposal of complaints:

Sr. No	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2	3	4	5	6
1.	2017-18	0	0	0	0
2.	2018-19	0	0	0	0
3.	2019-20	0	0	0	0
4.	2020-21	0	0	0	0
5.	2021-22	0	0	0	0
6.	2022-23	0	0	0	0
7.	2023-24	0	0	0	0
8.	2024-25	0	0	0	0