

## INVESTOR COMPLAINTS DATA AS A STOCK BROKER

Data for every month ending May, 2026

Sr. No	Received From	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1.	Directly from Investors	0	0	0	0	0		NA
2.	SEBI (SCORS)	0	0	0	0	0		NA
3.	Stock Exchanges	0	0	0	0	0		NA
4.	Other Sources (if any)	0	0	0	0	0		NA
5.	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>		-

### Trend of monthly disposal of complaints:

Sr. No	Month	Carried forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1.	December, 2021	0	0	0	0
2.	January, 2022	0	0	0	0
3.	February, 2022	0	0	0	0
4.	March, 2022	0	0	0	0
5.	April, 2022	0	0	0	0
6.	May, 2022	0	0	0	0
7.	June, 2022	0	0	0	0
8.	July, 2022	0	0	0	0
9.	August, 2022	0	0	0	0
10.	September, 2022	0	0	0	0
11.	October, 2022	0	0	0	0
12.	November, 2022	0	0	0	0
13.	December, 2022	0	0	0	0

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14.	January, 2023	0	0	0	0
15.	February, 2023	0	0	0	0
16.	March, 2023	0	0	0	0
17.	April, 2023	0	0	0	0
18.	May, 2023	0	0	0	0
19.	June, 2023	0	0	0	0
20.	July, 2023	0	0	0	0
21.	August, 2023	0	0	0	0
22.	September, 2023	0	0	0	0
23.	October, 2023	0	0	0	0
24.	November, 2023	0	0	0	0
25.	December, 2023	0	0	0	0
26.	January, 2024	0	0	0	0
27.	February, 2024	0	0	0	0
28.	March, 2024	0	0	0	0
29.	April, 2024	0	0	0	0
30.	May, 2024	0	0	0	0
31.	June, 2024	0	0	0	0
32.	July, 2024	0	0	0	0
33.	August, 2024	0	0	0	0
34.	September, 2024	0	0	0	0
35.	October, 2024	0	0	0	0
36.	November, 2024	0	0	0	0
37.	December, 2024	0	0	0	0
38.	January, 2025	0	0	0	0
39.	February, 2025	0	0	0	0
40.	March, 2025	0	0	0	0
41.	April, 2025	0	0	0	0
42.	May, 2025	0	0	0	0
43.	June, 2025	0	0	0	0
44.	July, 2025	0	0	0	0
45.	Aug, 2025	0	0	0	0
46.	Sep, 2025	0	0	0	0
47.	Oct, 2025	0	0	0	0
48.	Nov,2025	0	0	0	0
49.	Dec,2025	0	0	0	0
50.	Jan,2026	0	0	0	0
51.	Feb,2026	0	0	0	0
52.	Mar,2026	0	0	0	0
53.	April, 2026	0	0	0	0
54.	May, 2026	0	0	0	0

**Trend of annual disposal of complaints:**

<b>Sr. No</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
1.	2017-18	0	0	0	0
2.	2018-19	0	0	0	0
3.	2019-20	0	0	0	0
4.	2020-21	0	0	0	0
5.	2021-22	0	0	0	0
6.	2022-23	0	0	0	0
7.	2023-24	0	0	0	0
8.	2024-25	0	0	0	0
9.	2025-26	0	0	0	0