

## INVESTOR COMPLAINTS DATA AS A DEPOSITORY PARTICIPANT

Data for month ending Aug, 2026:

| Sr. No | Received From           | Carried forward from previous month | Received during the month | Total Pending |  | Resolved | Pending at the end of the month |                                | Average Resolution time (in days) |
|--------|-------------------------|-------------------------------------|---------------------------|---------------|--|----------|---------------------------------|--------------------------------|-----------------------------------|
|        |                         |                                     |                           |               |  |          | Pending for less than 3 months  | Pending for more than 3 months |                                   |
| 1      | 2                       | 3                                   | 4                         | 5             |  | 6        | 7                               |                                | 8                                 |
| 1.     | Directly from Investors | 0                                   | 0                         | 0             |  | 0        | 0                               |                                | NA                                |
| 2.     | SEBI (SCORS)            | 0                                   | 0                         | 0             |  | 0        | 0                               |                                | NA                                |
| 3.     | Depositories            | 0                                   | 0                         | 0             |  | 0        | 0                               |                                | NA                                |
| 4.     | Other Sources (if any)  | 0                                   | 0                         | 0             |  | 0        | 0                               |                                | NA                                |
| 5.     | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                  | <b>0</b>      |  | <b>0</b> | <b>0</b>                        |                                | -                                 |

### Trend of monthly disposal of complaints:

| Sr. No | Month           | Carried forward from previous month | Received | Resolved | Pending |
|--------|-----------------|-------------------------------------|----------|----------|---------|
| 1      | 2               | 3                                   | 4        | 5        | 6       |
| 1.     | December, 2021  | 0                                   | 0        | 0        | 0       |
| 2.     | January, 2022   | 0                                   | 0        | 0        | 0       |
| 3.     | February, 2022  | 0                                   | 0        | 0        | 0       |
| 4.     | March, 2022     | 0                                   | 0        | 0        | 0       |
| 5.     | April, 2022     | 0                                   | 0        | 0        | 0       |
| 6.     | May, 2022       | 0                                   | 0        | 0        | 0       |
| 7.     | June, 2022      | 0                                   | 0        | 0        | 0       |
| 8.     | July, 2022      | 0                                   | 0        | 0        | 0       |
| 9.     | August, 2022    | 0                                   | 0        | 0        | 0       |
| 10.    | September, 2022 | 0                                   | 0        | 0        | 0       |
| 11.    | October, 2022   | 0                                   | 0        | 0        | 0       |
| 12.    | November, 2022  | 0                                   | 0        | 0        | 0       |
| 13.    | December, 2022  | 0                                   | 0        | 0        | 0       |

|     |                 |   |   |   |   |
|-----|-----------------|---|---|---|---|
| 14. | January, 2023   | 0 | 0 | 0 | 0 |
| 15. | February, 2023  | 0 | 0 | 0 | 0 |
| 16. | March, 2023     | 0 | 0 | 0 | 0 |
| 17. | April, 2023     | 0 | 0 | 0 | 0 |
| 18. | May, 2023       | 0 | 0 | 0 | 0 |
| 19. | June, 2023      | 0 | 0 | 0 | 0 |
| 20. | July, 2023      | 0 | 0 | 0 | 0 |
| 21. | August, 2023    | 0 | 0 | 0 | 0 |
| 22. | September, 2023 | 0 | 0 | 0 | 0 |
| 23. | October, 2023   | 0 | 0 | 0 | 0 |
| 24. | November, 2023  | 0 | 0 | 0 | 0 |
| 25. | December, 2023  | 0 | 0 | 0 | 0 |
| 26. | January, 2024   | 0 | 0 | 0 | 0 |
| 27. | February, 2024  | 0 | 0 | 0 | 0 |
| 28. | March, 2024     | 0 | 0 | 0 | 0 |
| 29. | April, 2024     | 0 | 0 | 0 | 0 |
| 30. | May, 2024       | 0 | 0 | 0 | 0 |
| 31. | June, 2024      | 0 | 0 | 0 | 0 |
| 32. | July, 2024      | 0 | 0 | 0 | 0 |
| 33. | Aug, 2024       | 0 | 0 | 0 | 0 |
| 34. | Sep, 2024       | 0 | 0 | 0 | 0 |
| 35. | October, 2024   | 0 | 0 | 0 | 0 |
| 36. | November, 2024  | 0 | 0 | 0 | 0 |
| 37. | December, 2024  | 0 | 0 | 0 | 0 |
| 38. | January, 2025   | 0 | 0 | 0 | 0 |
| 39. | February, 2025  | 0 | 0 | 0 | 0 |
| 40. | March, 2025     | 0 | 0 | 0 | 0 |
| 41. | April, 2025     | 0 | 0 | 0 | 0 |
| 42. | May, 2025       | 0 | 0 | 0 | 0 |
| 43. | June, 2025      | 0 | 0 | 0 | 0 |
| 44. | July, 2025      | 0 | 0 | 0 | 0 |
| 45. | Aug, 2025       | 0 | 0 | 0 | 0 |
| 46. | Sep, 2025       | 0 | 0 | 0 | 0 |
| 47. | Oct, 2025       | 0 | 0 | 0 | 0 |
| 48. | Nov,2025        | 0 | 0 | 0 | 0 |
| 49. | Dec,2025        | 0 | 0 | 0 | 0 |
| 50. | Jan,2026        | 0 | 0 | 0 | 0 |
| 51. | Feb,2026        | 0 | 0 | 0 | 0 |
| 52. | Mar,2026        | 0 | 0 | 0 | 0 |
| 53. | April,2026      | 0 | 0 | 0 | 0 |
| 54. | May, 2026       | 0 | 0 | 0 | 0 |
| 55. | June, 2026      | 0 | 0 | 0 | 0 |
| 56. | July, 2026      | 0 | 0 | 0 | 0 |
| 57. | Aug, 2026       | 0 | 0 | 0 | 0 |

**ARYA FIN-TRADE SERVICES (INDIA) PRIVATE LIMITED****Trend of annual disposal of complaints:**

| <b>Sr. No</b> | <b>Year</b> | <b>Carried forward from previous year</b> | <b>Received during the year</b> | <b>Resolved during the year</b> | <b>Pending at the end of the year</b> |
|---------------|-------------|-------------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| <b>1</b>      | <b>2</b>    | <b>3</b>                                  | <b>4</b>                        | <b>5</b>                        | <b>6</b>                              |
| <b>1.</b>     | 2017-18     | 0                                         | 0                               | 0                               | 0                                     |
| <b>2.</b>     | 2018-19     | 0                                         | 0                               | 0                               | 0                                     |
| <b>3.</b>     | 2019-20     | 0                                         | 0                               | 0                               | 0                                     |
| <b>4.</b>     | 2020-21     | 0                                         | 0                               | 0                               | 0                                     |
| <b>5.</b>     | 2021-22     | 0                                         | 0                               | 0                               | 0                                     |
| <b>6.</b>     | 2022-23     | 0                                         | 0                               | 0                               | 0                                     |
| <b>7.</b>     | 2023-24     | 0                                         | 0                               | 0                               | 0                                     |
| <b>8.</b>     | 2024-25     | 0                                         | 0                               | 0                               | 0                                     |
| <b>9.</b>     | 2025-26     | 0                                         | 0                               | 0                               | 0                                     |